

# Negligibility, depth, and algorithmic randomness

## Part 2

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## Last time

- ▶ I motivated the study of certain limitations of probabilistic computation using tools from algorithmic randomness and computability theory.
- ▶ I introduced the basics of algorithmic randomness and discussed my preferred model of probabilistic computation.
- ▶ I introduced the notion of a negligible  $\Pi_1^0$  class and discussed some basic results about such classes.

Today, I will

1. introduce the notion of a deep  $\Pi_1^0$  class;
2. prove a number of basic results about deep classes;
3. outline the proof that the collection of consistent completions of Peano arithmetic is a deep class, and
4. provide several other examples of deep classes.

# Outline of today's talk

1. Review
2. Introducing deep  $\Pi_1^0$  classes
3. Examples of  $\Pi_1^0$  classes

# 1. Review

## Recall...

- ▶ Martin-Löf random sequences: Infinite binary sequences that avoid a family of effective null subsets of  $2^\omega$ .
- ▶ Probabilistic computation: Turing computation with an algorithmically random oracle (usually a Martin-Löf random sequence).
- ▶  $\Pi_1^0$  classes: Effectively closed classes, or equivalently:
  - ▶ the collection of infinite paths through a computable tree; or
  - ▶ the collection of infinite paths through a co-c.e. tree.

## Further recall...

- ▶  $\mathcal{S} \subseteq 2^\omega$  is negligible if there is no probabilistic procedure for computing a member of  $\mathcal{S}$  with positive probability.
- ▶ A left-c.e. semi-measure can be seen as a super-additive measure that can be computably approximated from below.
  - ▶ There is a correspondence between left-c.e. semi-measures and Turing functionals.
  - ▶ There is a universal left-c.e. semi-measure  $M$ .
- ▶  $\mathcal{S} \subseteq 2^\omega$  is negligible if and only if  $\overline{M}(\mathcal{S}) = 0$ , where  $\overline{M}$  is the largest measure such that  $\overline{M} \leq M$ .

## 2. Introducing Deep $\Pi_1^0$ classes

## Deep classes: the idea

Unlike negligibility, we only define depth for  $\Pi_1^0$  classes.

Depth is a property that is strictly stronger than negligibility for  $\Pi_1^0$  classes.

Instead of considering how difficult it is to produce a path through a  $\Pi_1^0$  class  $\mathcal{P}$ , we can consider how difficult it is to produce an *initial segment* of some path through  $\mathcal{P}$ , level by level.

Deep  $\Pi_1^0$  classes are the “most difficult”  $\Pi_1^0$  classes in this respect.



## Some notation

Let  $\mathcal{P} \subseteq 2^\omega$  be a  $\Pi_1^0$  class.

Let  $T_{\mathcal{P}} \subseteq 2^{<\omega}$  be the set of extendible nodes of  $\mathcal{P}$ ,

$$T_{\mathcal{P}} = \{\sigma \in 2^{<\omega} : \llbracket \sigma \rrbracket \cap \mathcal{P} \neq \emptyset\}.$$

Thus  $T_{\mathcal{P}}$  is the canonical co-c.e. tree such that  $\mathcal{P} = [T_{\mathcal{P}}]$  (the set of infinite paths through  $T_{\mathcal{P}}$ ).

Hereafter  $T$  will stand for  $T_{\mathcal{P}}$ .

For each  $n \in \omega$ ,  $T_n$  consists of all strings in  $T$  of length  $n$ .

## Deep classes: the definition

Let  $\mathcal{P}$  be a  $\Pi_1^0$  class and let  $T$  be the canonical co-c.e. tree such that  $\mathcal{P} = [T]$ .

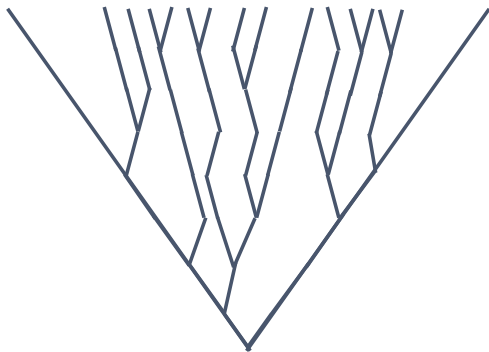
$\mathcal{P}$  is a *deep class* if there is some computable, non-decreasing, unbounded function  $h : \omega \rightarrow \omega$  such that

$$M(T_n) \leq 2^{-h(n)},$$

where  $M(T_n) = \sum_{\sigma \in T_n} M(\sigma)$ .

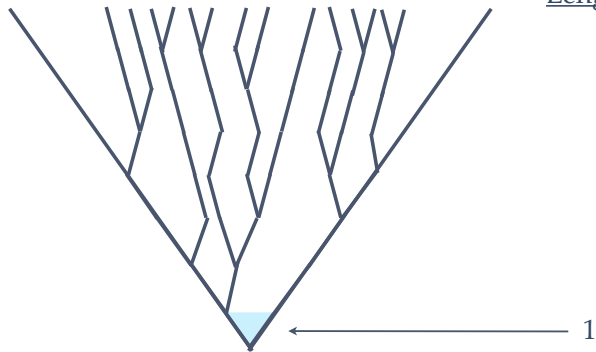
That is, the probability of producing some initial segment of a path through  $\mathcal{P}$  is effectively bounded from above.

Note: Every deep class is negligible.

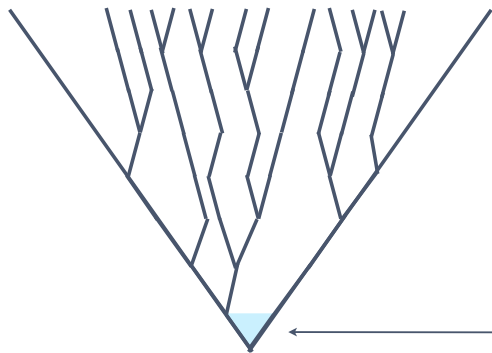


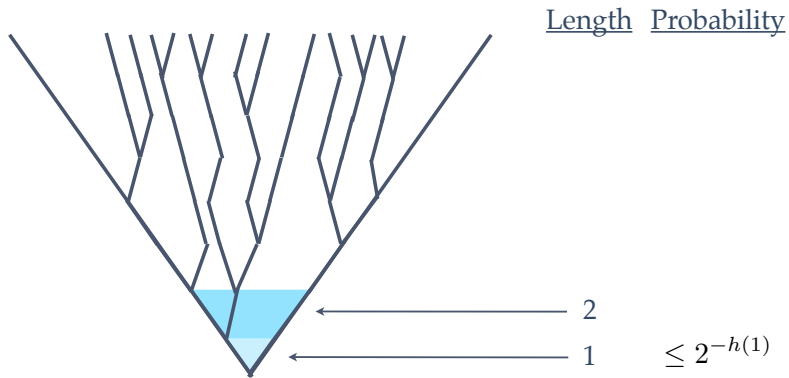
Length Probability

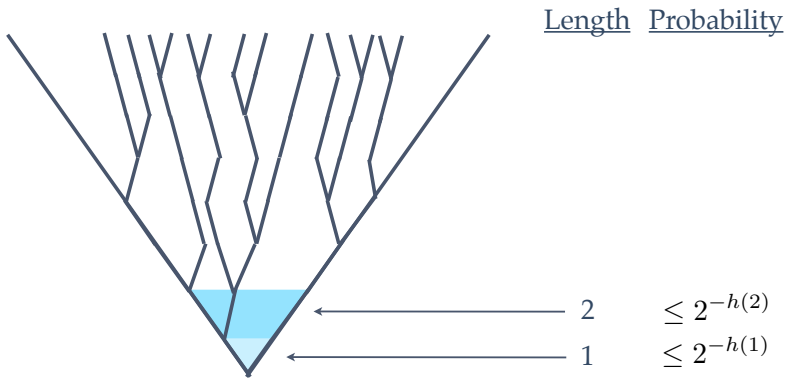
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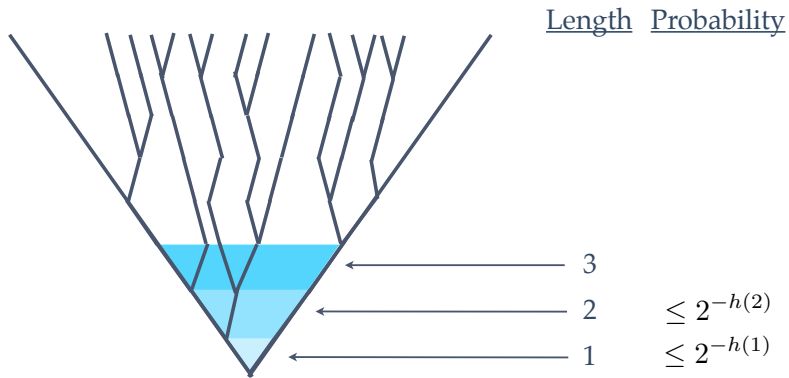


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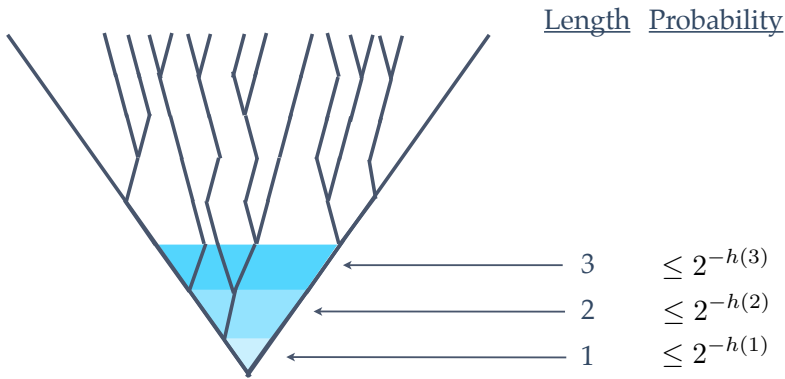


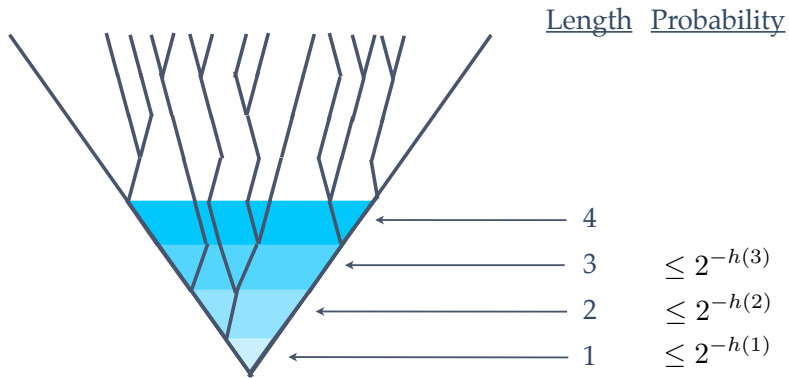


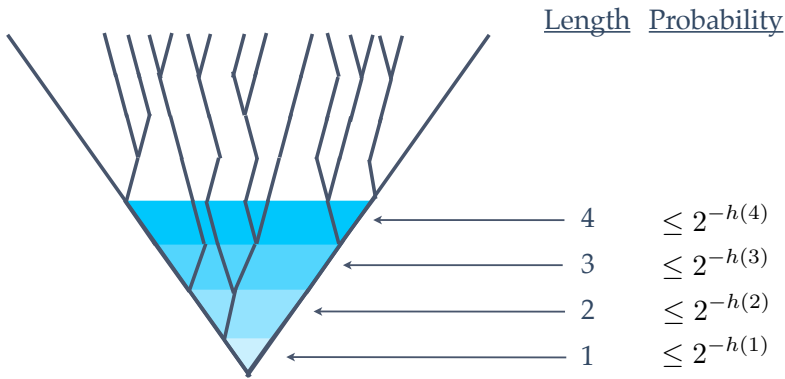


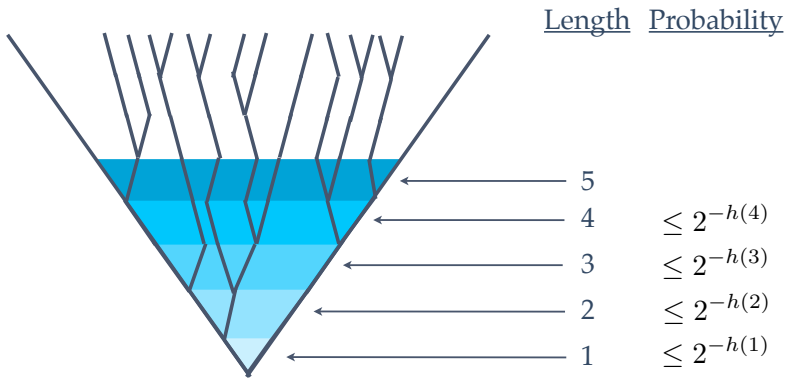


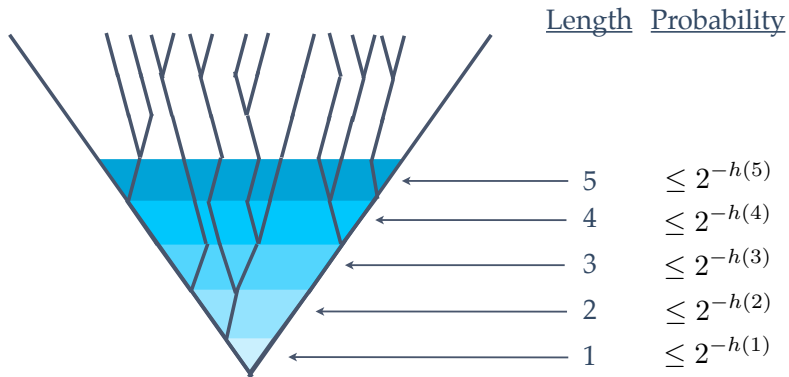


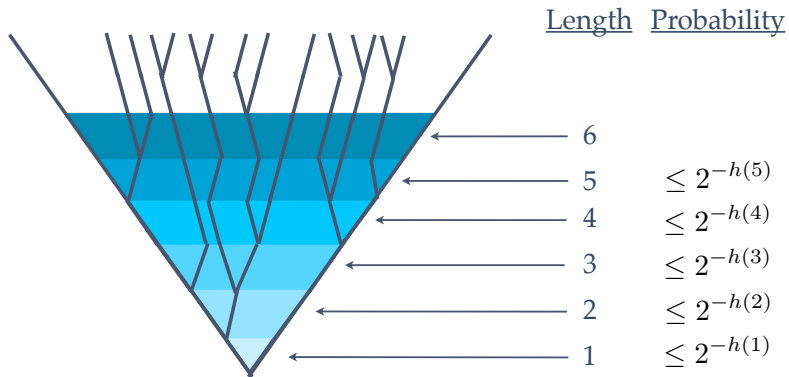


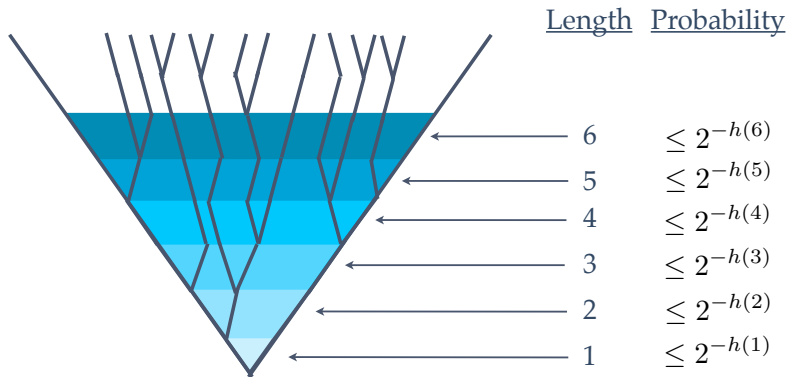


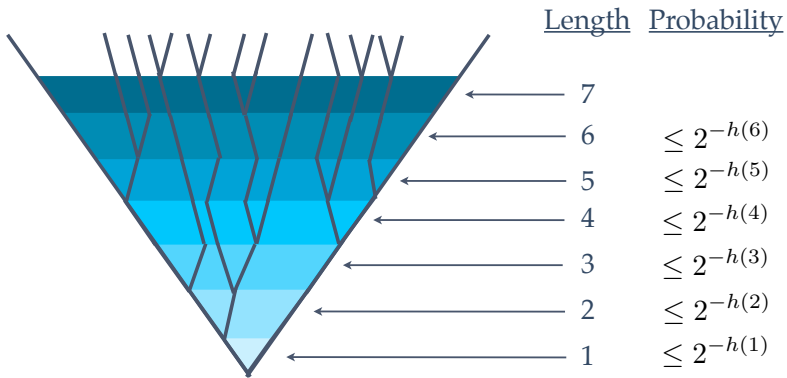




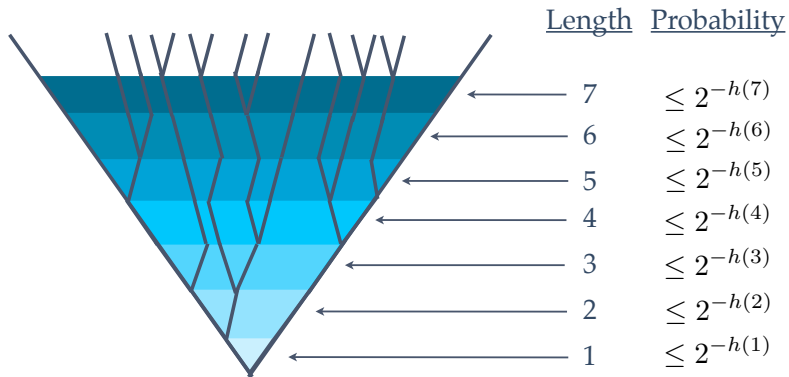


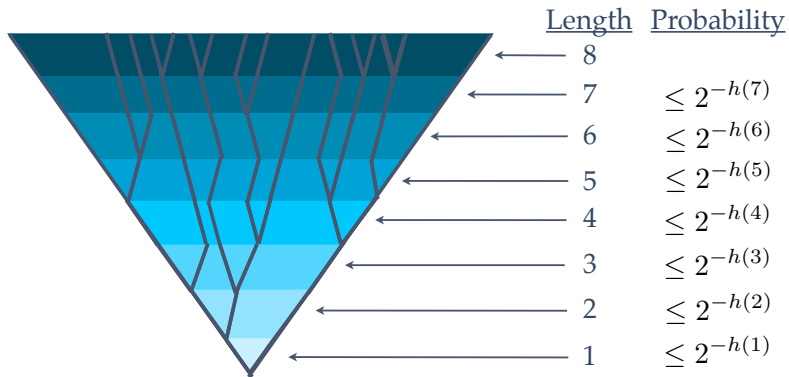


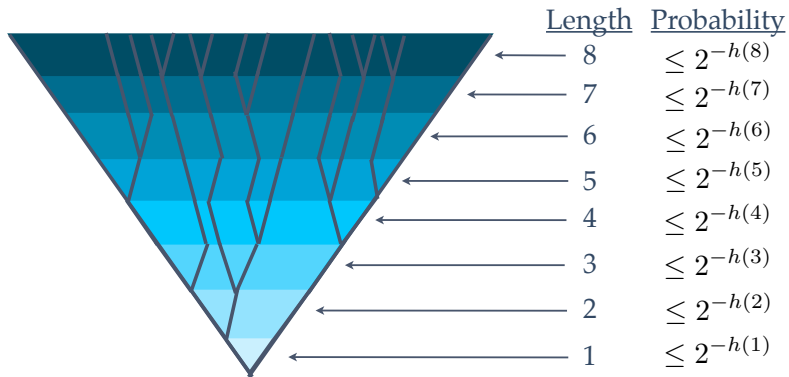












## Why use the co-c.e. tree in the definition of depth?

For every  $\Pi_1^0$  class  $\mathcal{P}$  there is a computable tree  $S \subseteq 2^{<\omega}$  such that  $\mathcal{P} = [S]$ .

Why can't we use this computable tree  $S$  in the definition of depth?

First, in general,  $S$  will contain non-extendible nodes, so even if we can compute some element in  $S_n$ , we still may fail to compute an initial segment of a member of  $\mathcal{P}$ .

But this observation doesn't rule out the possibility that we can define depth in terms of computable trees.

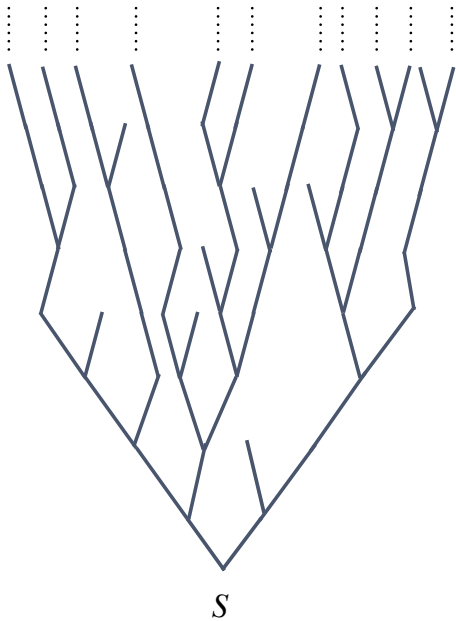
## A better reason

### Theorem (Bienvenu, Porter)

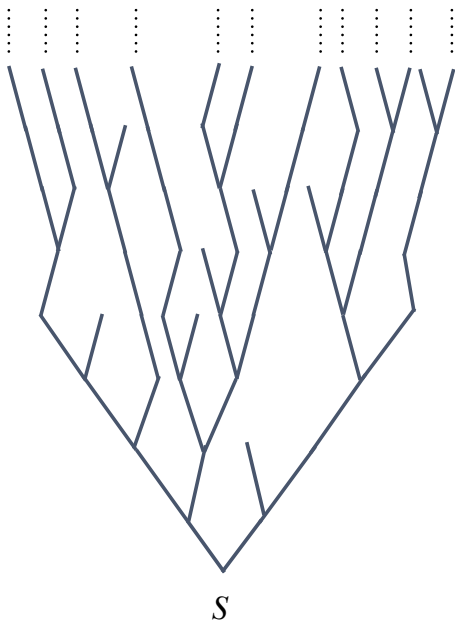
*Let  $S$  be a computable tree. Then there is no computable order  $h$  such that  $M(S_n) \leq 2^{-h(n)}$  for every  $n \in \omega$ .*

### Corollary (Bienvenu, Porter)

*Let  $S$  be a tree with a computable sub-tree. Then there is no computable order  $h$  such that  $M(S_n) \leq 2^{-h(n)}$  for every  $n \in \omega$ .*

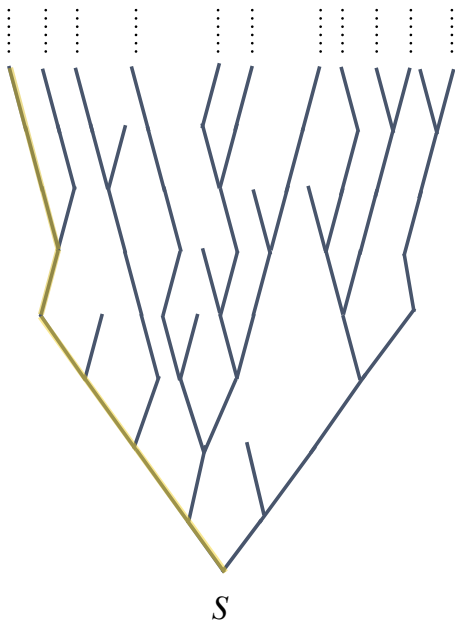


*Case 1:  $S$  has only  
finitely many  
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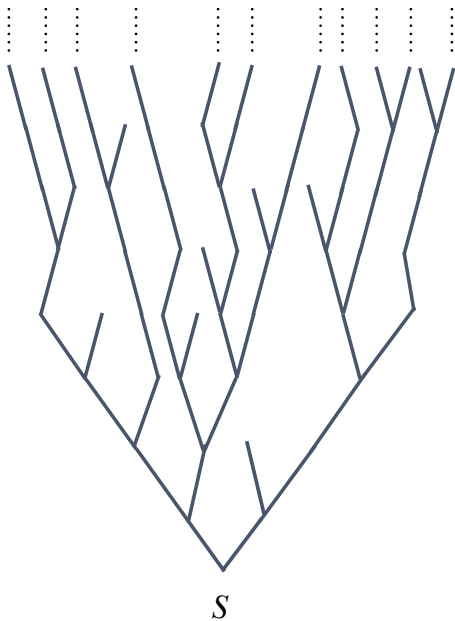
*Case 1:  $S$  has only finitely many non-extendible nodes.*

*In this case, the left-most path of  $S$  is computable.*



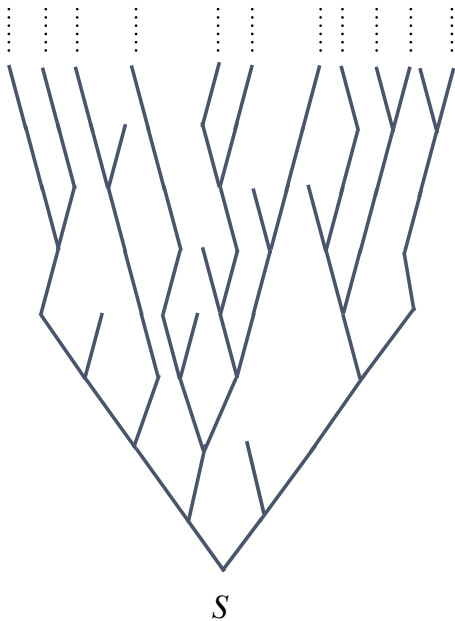


*Case 2:  $S$  has  
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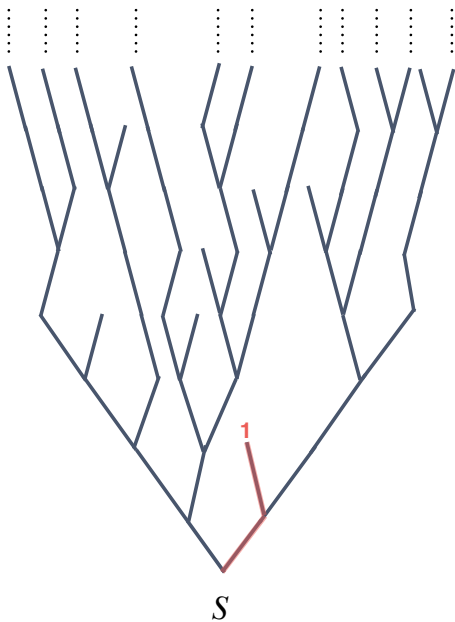
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*In this case, first we find a sequence of non-extendible nodes of increasing length.*



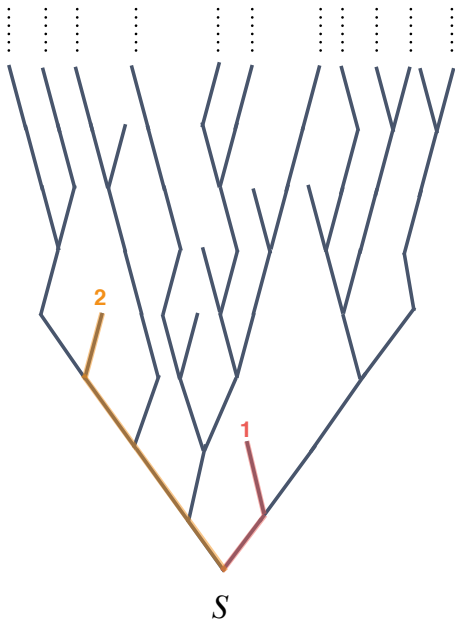
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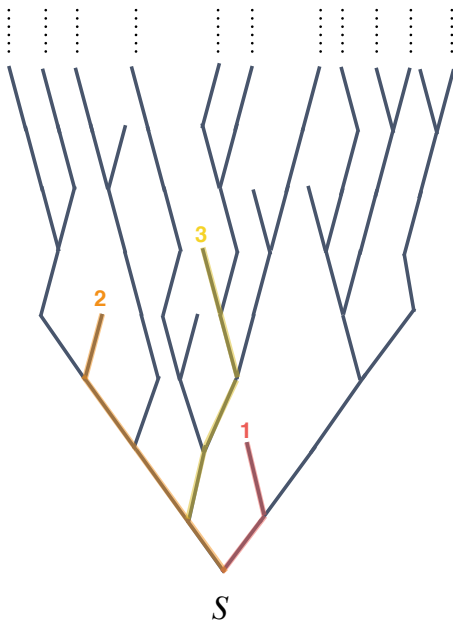
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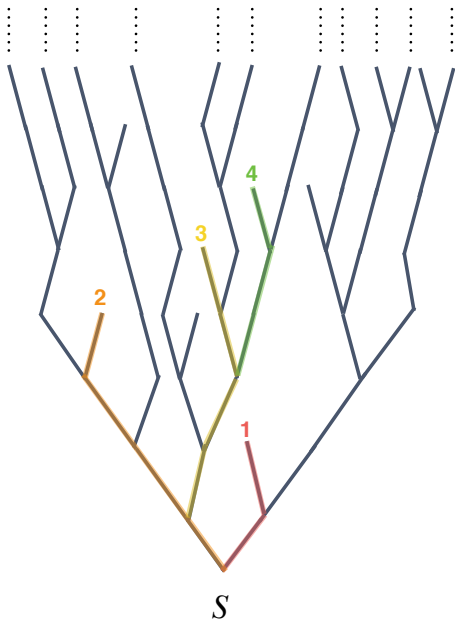
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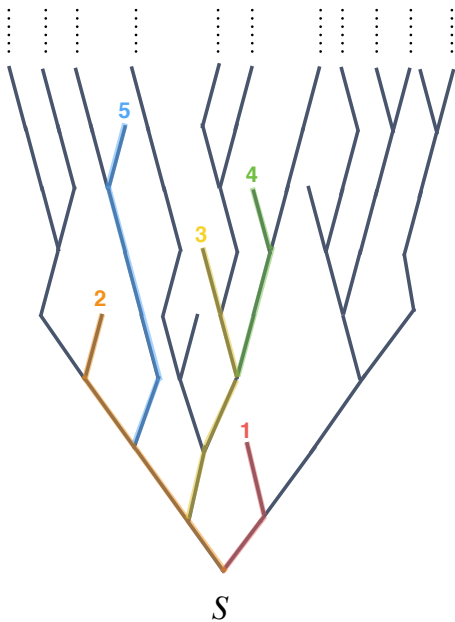
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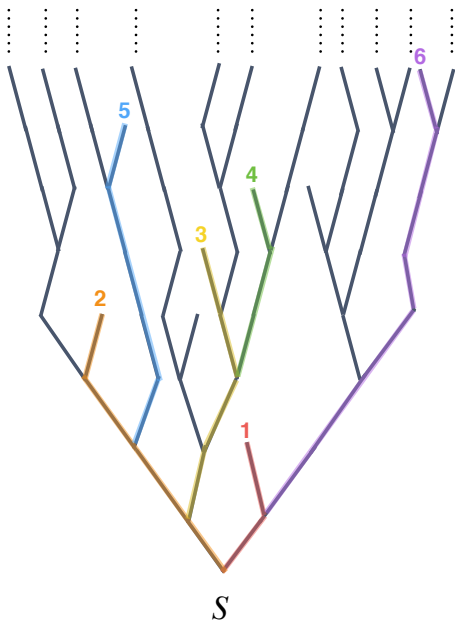
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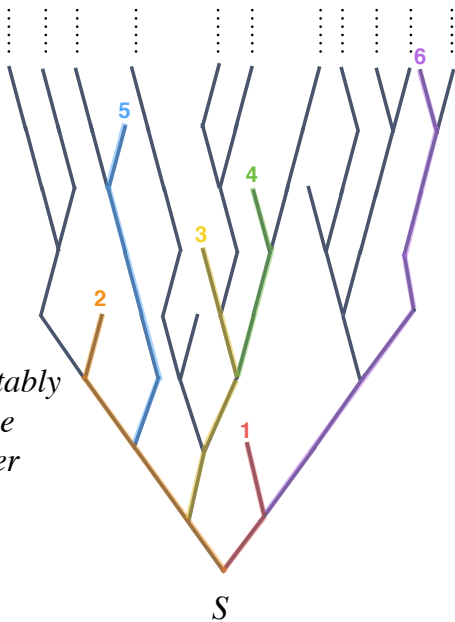


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$$\sum_{n \in \mathbb{N}} 2^{-f(n)} \leq 1.$$

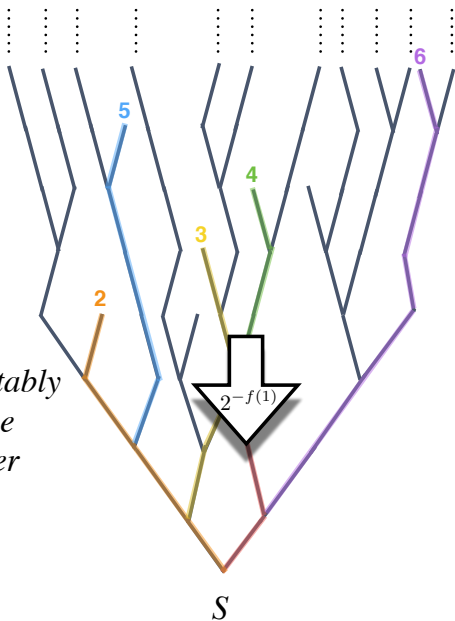


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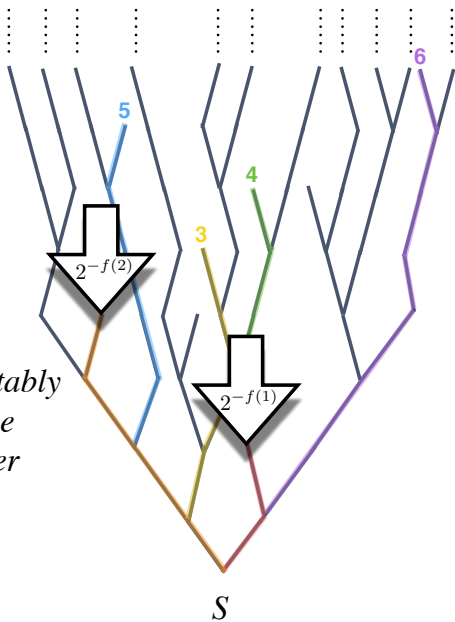


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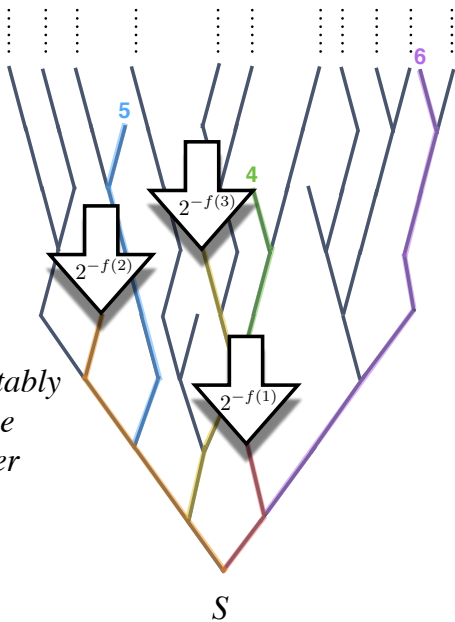


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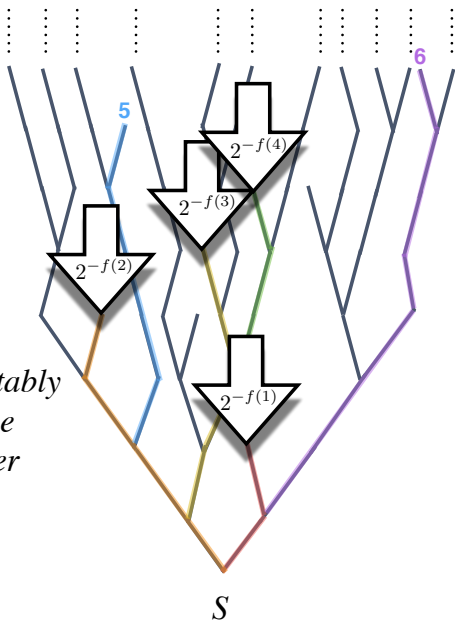


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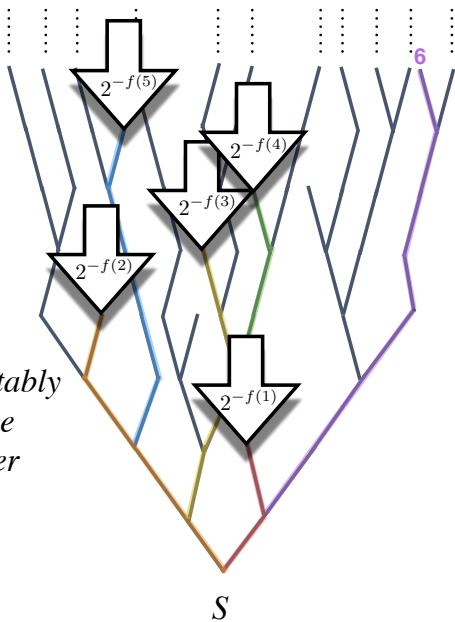


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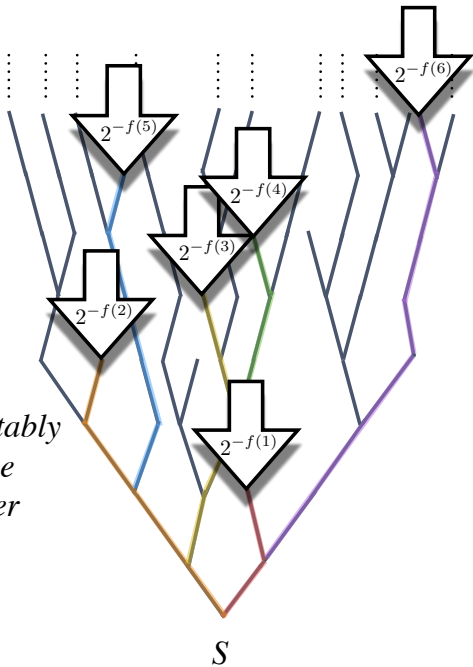


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Does such an  $f$  exist?



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Yes!

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Yes!

Let  $U$  be a universal, prefix-free Turing machine.

For each  $\sigma \in 2^{<\omega}$ , the *prefix-free Kolmogorov complexity* of  $\sigma$  is defined to be

$$K(\sigma) := \min\{|\tau| : U(\tau) = \sigma\}.$$

If  $(\sigma_i)_{i \in \omega}$  is an enumeration of  $2^{<\omega}$  in length-lexicographical order, then

$$f(i) = K(\sigma_i)$$

is the desired function  $f$ .

## Depth vs. negligibility

It is not hard to show that every deep class is negligible.

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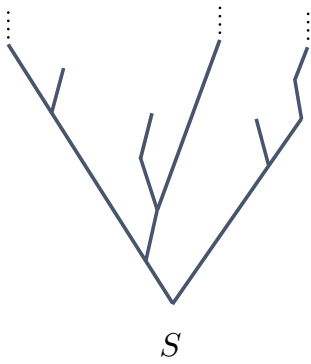
## Theorem (Bienvenu, Porter)

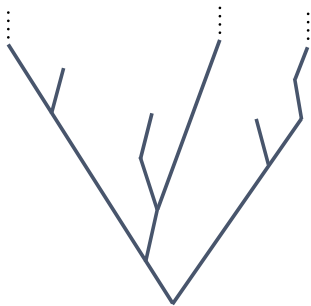
*For every deep class  $\mathcal{P}$ , there is negligible class  $\mathcal{Q}$  that is not deep such that*

- ▶ *for every  $X \in \mathcal{P}$ , we have  $X \in \mathcal{Q}$ , and*
- ▶ *for every  $Y \in \mathcal{Q}$ ,  $Y = \sigma \frown X$  for some  $\sigma \in 2^{<\omega}$  and  $X \in \mathcal{P}$ .*

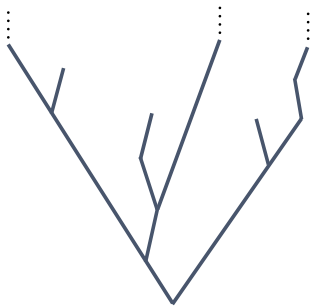
In other words, every deep class is Muchnik equivalent to a negligible  $\Pi_1^0$  class that is not deep.

However, it is worth noting that depth is preserved under Medvedev equivalence.

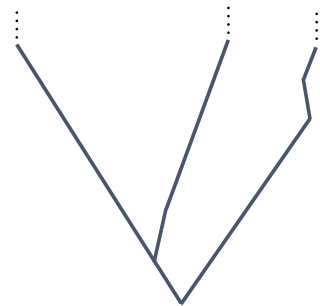




$S$   
computable  
tree

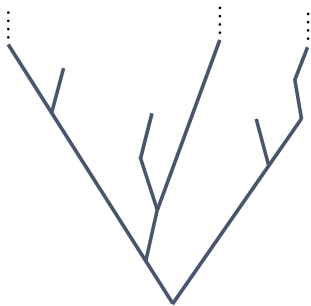


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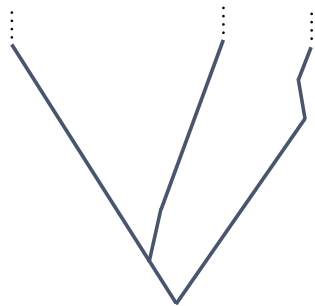


$T$

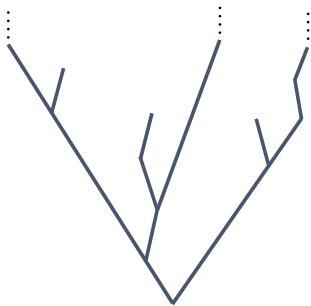




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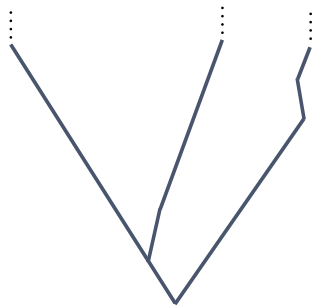


$T$   
co-c.e.  
tree

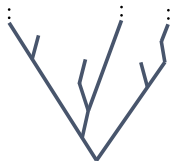


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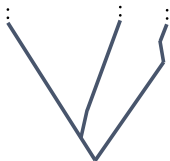
$$[S] = [T]$$



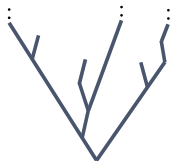
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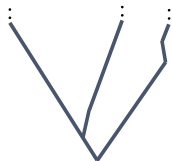
*S*



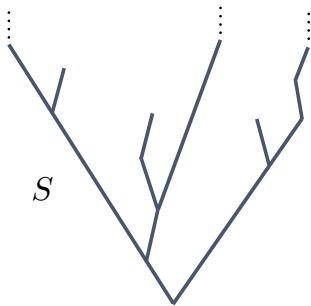
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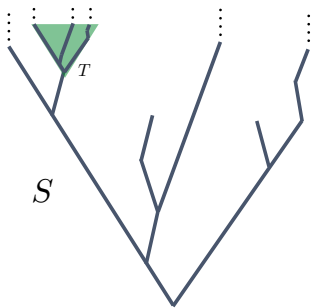
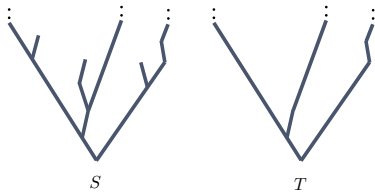
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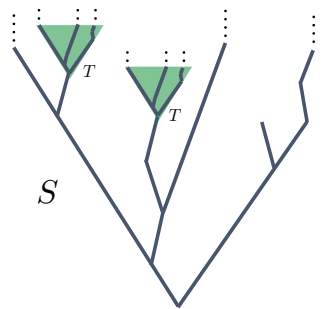
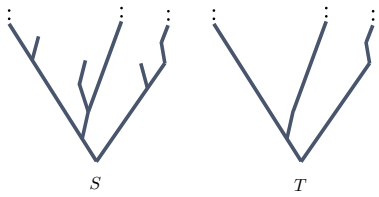


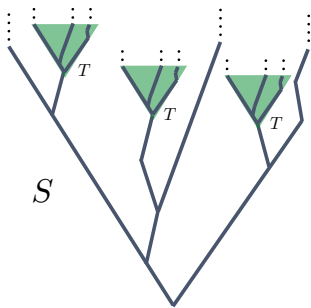
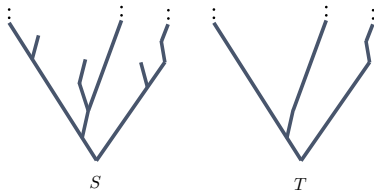
*T*



*S*







# Computing members of deep $\Pi_1^0$ classes

What level of randomness  $\mathcal{R}$  guarantees that no  $\mathcal{R}$ -random sequence can compute a member of a deep  $\Pi_1^0$  class?

The answer is known as *difference randomness*, which is formulated in terms of *difference tests*: a collection of pairs of uniformly effectively open subsets  $(\mathcal{U}_i, \mathcal{V}_i)_{i \in \omega}$  of  $2^\omega$  such that  $\lambda(\mathcal{U}_i \setminus \mathcal{V}_i) \leq 2^{-i}$ .

## Theorem (Bienvenu, Porter)

*If  $X \in 2^\omega$  is difference random, then  $X$  cannot compute any member of a deep  $\Pi_1^0$  class.*

Note: The difference random sequences are precisely the Martin-Löf random sequences that cannot compute a completion of PA.



### 3. Examples of deep $\Pi_1^0$ classes

# Paradigm example: Consistent completions of PA

The following is implicit in work of Levin and Stephan.

## Theorem

*The  $\Pi_1^0$  class of consistent completions of PA is a deep class.*

What exactly does this tell us?

Not only can we not probabilistically compute some consistent completion of PA with positive probability, but we cannot even hope to produce longer and longer initial segments of a consistent completion of PA with sufficiently high probability.

# Completions of PA proof sketch, 1

To prove that this result, we can consider the class  $\mathcal{P}$  of total extensions of a universal partial computable  $\{0, 1\}$ -valued function.

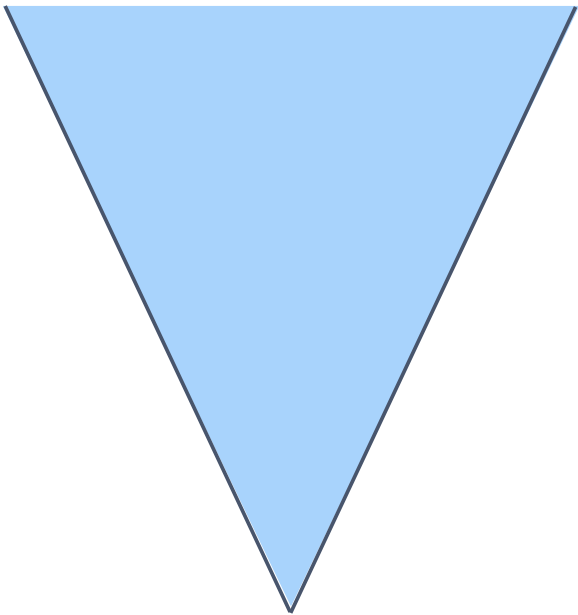
Let  $u(\langle e, x \rangle) = \phi_e(x)$ , where  $(\phi_e)_{e \in \omega}$  is an effective enumeration of all partial computable  $\{0, 1\}$ -valued functions.

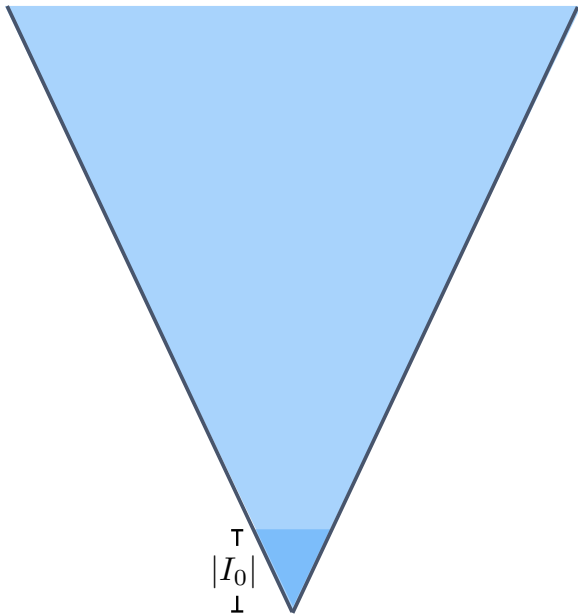
We will define a partial computable  $\{0, 1\}$ -valued function  $\phi_e$  (where we know  $e$  in advance by the recursion theorem), and this will allow us to show that  $\mathcal{P}$  is deep.

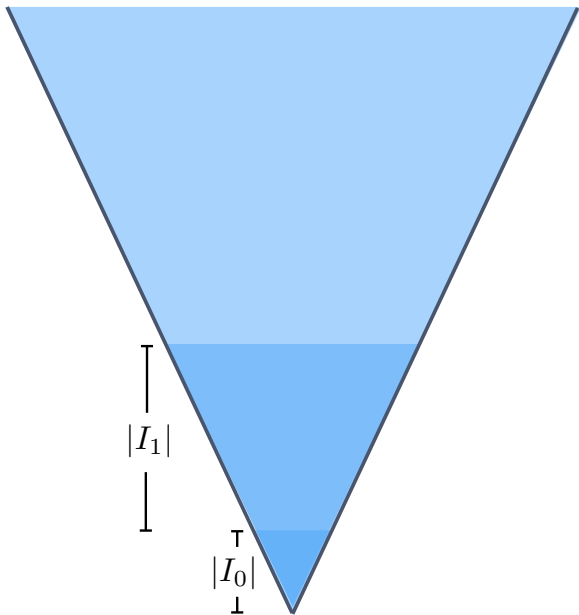
## Completions of PA proof sketch, 2

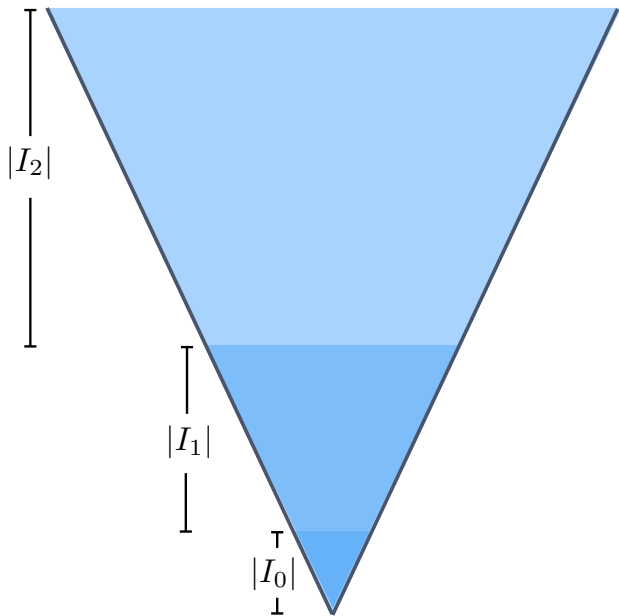
Since we are defining  $\phi_e$ , we have control of the values  $u(\langle e, x \rangle)$  for every  $x \in \omega$ .

Let  $(I_k)_{k \in \omega}$  be an effective collection of intervals forming a partition of  $\omega$ , where we have control of  $2^{k+1}$  values of  $u$  inside of  $I_k$  for each  $k \in \omega$ .









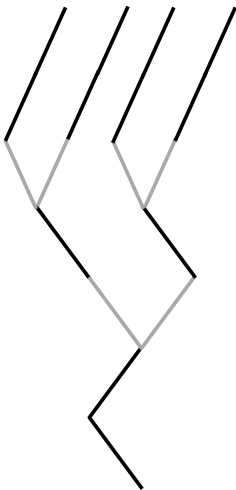


$$u_s = 01 * 0 * 11$$

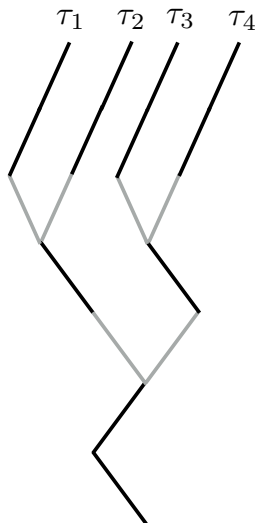
$$u_s = 01 * 0 * 11$$

↓   ↓

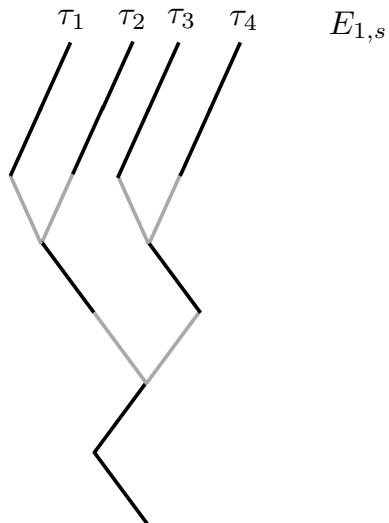
$$u_s = 01 * 0 * 11$$



$$u_s = 01 * 0 * 11$$

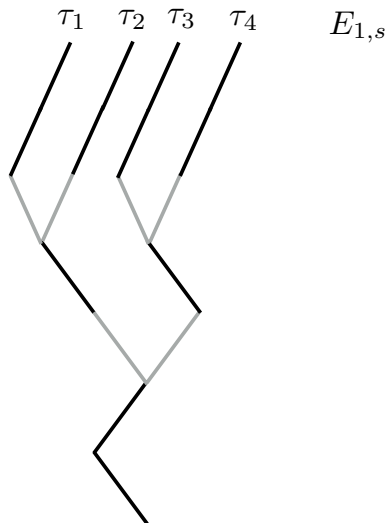


$$u_s = 01 * 0 * 11$$



$$u_s = 01 * 0 * 11$$

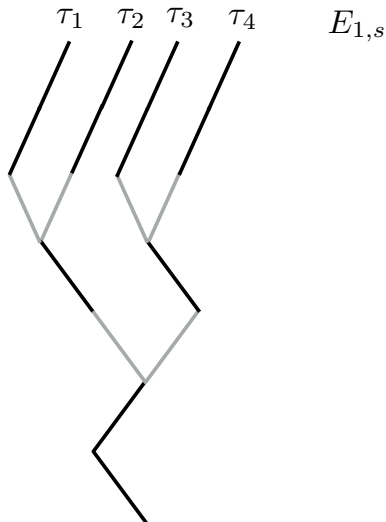
$$M_s(E_{1,s}) \geq 1/2$$



$$u_s = 01 * 0 * 11$$

$$M_s(E_{1,s}) \geq 1/2$$

$$M_s(\tau_1) + M_s(\tau_2) = 3/8$$

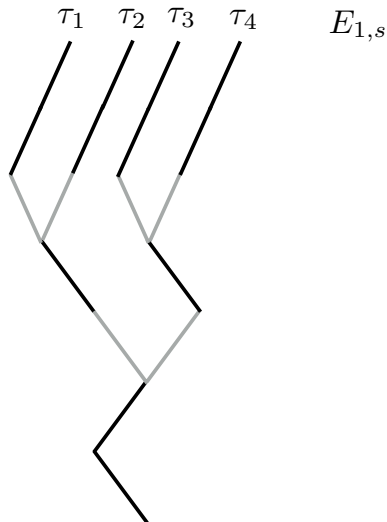


$$u_s = 01 * 0 * 11$$

$$M_s(E_{1,s}) \geq 1/2$$

$$M_s(\tau_1) + M_s(\tau_2) = 3/8$$

$$M_s(\tau_3) + M_s(\tau_4) = 1/4$$





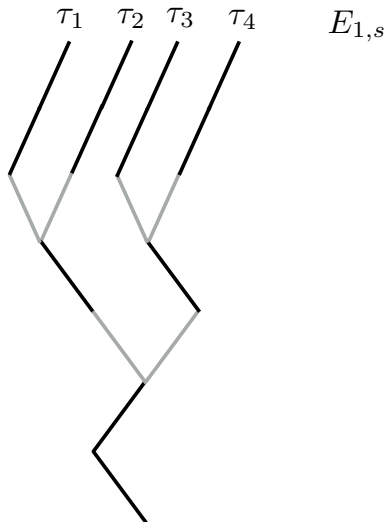
$$u_s = 01 * 0 * 11$$

$$M_s(E_{1,s}) \geq 1/2$$

$$M_s(\tau_1) + M_s(\tau_2) = 3/8$$

$$M_s(\tau_3) + M_s(\tau_4) = 1/4$$

*We want to kill off  $\tau_1$  and  $\tau_2$ .*



$$u_s = 01 * 0 * 11$$

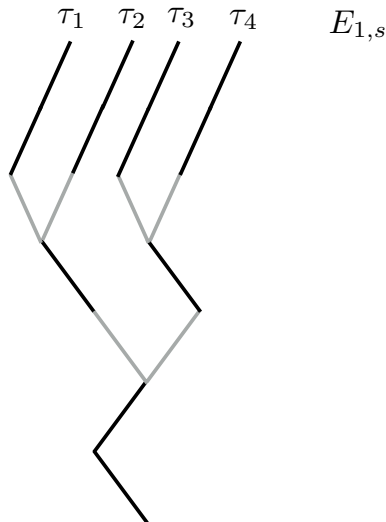
$$M_s(E_{1,s}) \geq 1/2$$

$$M_s(\tau_1) + M_s(\tau_2) = 3/8$$

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*We want to kill off  $\tau_1$  and  $\tau_2$ .*

*We set  $u_{s+1} = 01\mathbf{1}0 * 11$ .*



$$u_s = 01 * 0 * 11$$

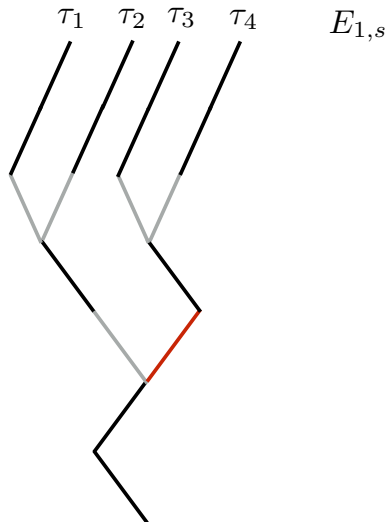
$$M_s(E_{1,s}) \geq 1/2$$

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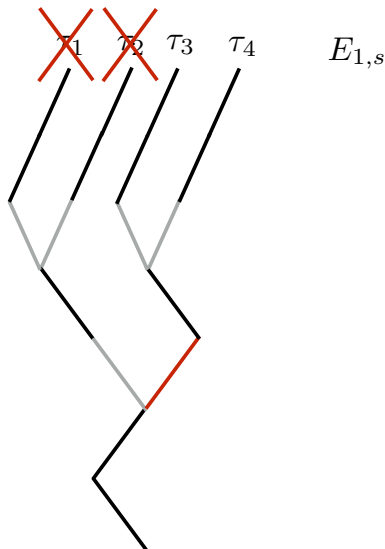
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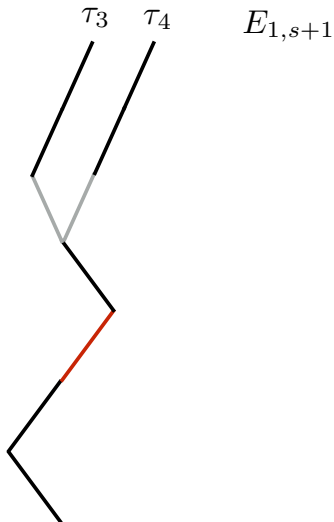
$$M_s(E_{1,s}) \geq 1/2$$

$$M_s(\tau_1) + M_s(\tau_2) = 3/8$$

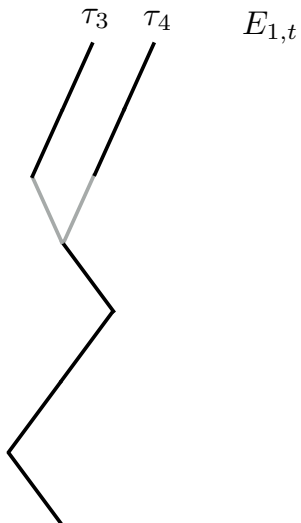
$$M_s(\tau_3) + M_s(\tau_4) = 1/4$$

*We want to kill off  $\tau_1$  and  $\tau_2$ .*

*We set  $u_{s+1} = 01\mathbf{1}0 * 11$ .*

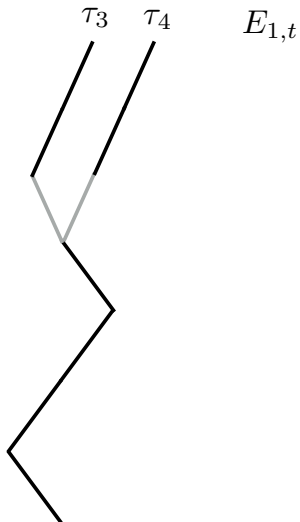


$$u_t = 0110 * 11$$



$$u_t = 0110 * 11$$

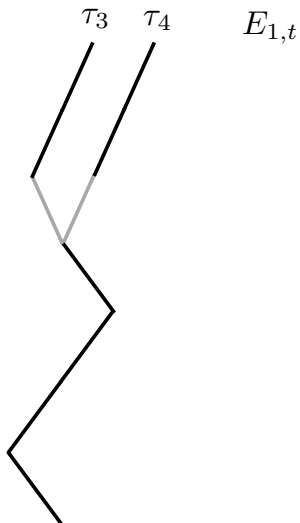
$$M_t(E_{1,t}) \geq 1/2$$



$$u_t = 0110 * 11$$

$$M_t(E_{1,t}) \geq 1/2$$

$$M_t(\tau_3) = 3/16$$



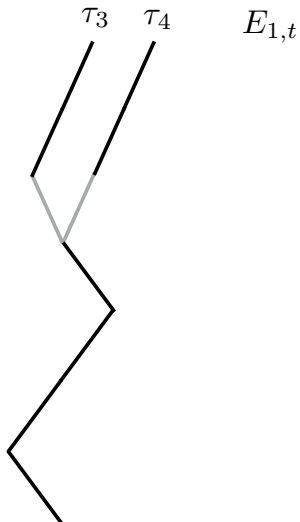


$$u_t = 0110 * 11$$

$$M_t(E_{1,t}) \geq 1/2$$

$$M_t(\tau_3) = 3/16$$

$$M_t(\tau_4) = 5/16$$



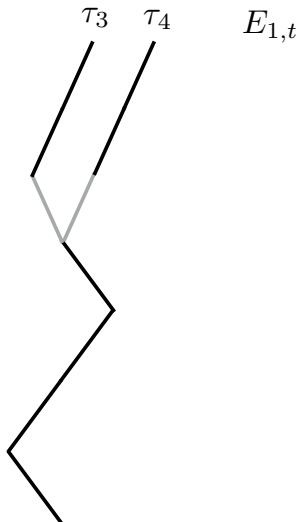
$$u_t = 0110 * 11$$

$$M_t(E_{1,t}) \geq 1/2$$

$$M_t(\tau_3) = 3/16$$

$$M_t(\tau_4) = 5/16$$

*We want to kill off  $\tau_4$ .*



$$u_t = 0110 * 11$$

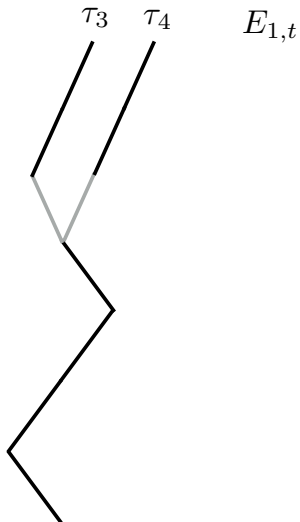
$$M_t(E_{1,t}) \geq 1/2$$

$$M_t(\tau_3) = 3/16$$

$$M_t(\tau_4) = 5/16$$

*We want to kill off  $\tau_4$ .*

*We set  $u_{t+1} = 0110$ **0** $11$ .*



$$u_t = 0110 * 11$$

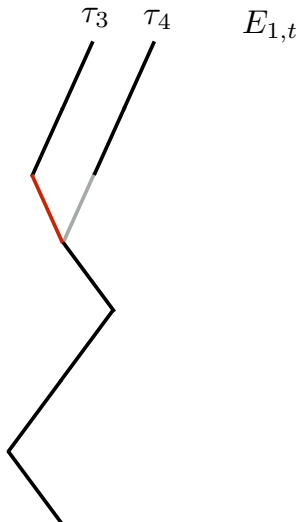
$$M_t(E_{1,t}) \geq 1/2$$

$$M_t(\tau_3) = 3/16$$

$$M_t(\tau_4) = 5/16$$

*We want to kill off  $\tau_4$ .*

*We set  $u_{t+1} = 0110$ **0** $11$ .*



$$u_t = 0110 * 11$$

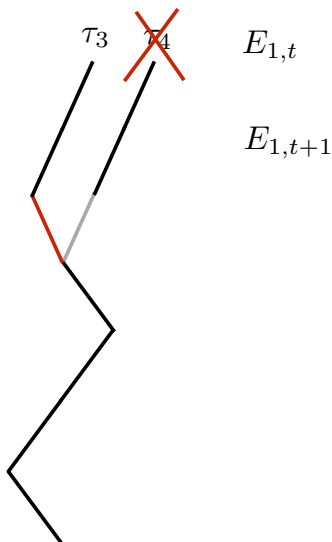
$$M_t(E_{1,t}) \geq 1/2$$

$$M_t(\tau_3) = 3/16$$

$$M_t(\tau_4) = 5/16$$

*We want to kill off  $\tau_4$ .*

*We set  $u_{t+1} = 0110\mathbf{0}11$ .*



$$u_t = 0110 * 11$$

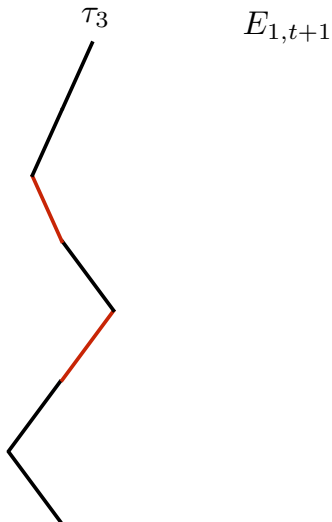
$$M_t(E_{1,t}) \geq 1/2$$

$$M_t(\tau_3) = 3/16$$

$$M_t(\tau_4) = 5/16$$

*We want to kill off  $\tau_4$ .*

*We set  $u_{t+1} = 0110$ **0** $11$ .*



## Completions of PA proof sketch, 3

Step 1: For each  $k$ , we consider the sets

$$E_{k,s} = \{\sigma \in 2^{<\omega} : \sigma \upharpoonright l_k \text{ extends } u_s \upharpoonright l_k\},$$

and wait for a stage  $s$  such that

$$M(E_{k,s}) \geq 2^{-k}.$$

## Completions of PA proof sketch, 4

Step 2: Pick some  $y \in I_k$  on which we have yet to define  $u$ .

Consider the sets

$$E_{k,s}^0(y) = \{\sigma \in E_{k,s} : \sigma(y) = 0\}$$

and

$$E_{k,s}^1(y) = \{\sigma \in E_{k,s} : \sigma(y) = 1\}.$$

Then  $M(E_{k,s}^i(y)) \geq 2^{-(k+1)}$  for  $i = 0$  or  $1$  (or both).

If this holds for  $i = 0$ , we set  $u(y) = 1$ ; otherwise we set  $u(y) = 0$ .



## Completions of PA proof sketch, 5

We repeat the process, going back to Step 1.

We can repeat the process at most  $2^{k+1}$  times (since we have enough values to work with in  $I_k$ ).

Eventually, we will get stuck at Step 1.

Setting  $f(k) = \max(I_k)$ , we will have

$$M(\{\sigma : \sigma \upharpoonright f(k) \text{ extends } u\}) \leq 2^{-k}.$$

That is,

$$M(T_{f(k)}) \leq 2^{-k}.$$

## Establishing the depth of a given $\Pi_1^0$ class

The technique for showing that the class of consistent completions of PA is deep is what we refer to as a *wait and kill* argument.

We need to work with some object that we have control over in some way.

For example, in the previous proof we define a partial computable  $\{0, 1\}$ -valued function  $\phi$  using the recursion theorem.

We *wait* to see a sufficiently large collection of oracles compute some possible extension of  $\phi$  (at some place at which  $\phi$  is currently undefined).

We then define  $\phi$  at this place in such a way as to *kill* off each of these oracles.

## Shift-complex sequences: the idea

A Martin-Löf random sequence  $X$  has high initial segment complexity, satisfying

$$K(X \upharpoonright n) \geq n - O(1).$$

Nonetheless,  $X$  will still contain arbitrarily long runs of 0s (since all Martin-Löf random sequences are normal).

That is, certain subwords of  $X$  can have fairly low initial segment complexity.

By contrast, a shift-complex sequence is a sequence with the property that every subword has high initial segment complexity.

# Shift-complex sequences: the formal definition

For  $\delta \in (0, 1)$  and  $c \in \omega$ , we say that  $X \in 2^\omega$  is  $(\delta, c)$ -*shift complex* if

$$K(\tau) \geq \delta|\tau| - c$$

for every subword  $\tau$  of  $X$ .

The following draws upon work of Romyantsev.

## Theorem (Bienvenu, Porter)

*For every  $\delta \in (0, 1)$  and  $c \in \omega$ , the  $(\delta, c)$ -shift complex sequences form a deep class.*

# Diagonally non-computable sequences and randomness

Recall that a sequence  $X$  is diagonally non-computable if there is some total function  $f \leq_T X$  such that  $f(e) \neq \phi_e(e)$  for every  $e$ .

Every Martin-Löf random sequence  $X$  is diagonally non-computable:

Let  $f(e) = X \upharpoonright e$  (coded as a natural number).

Note that  $f(e) < 2^{e+1}$ .

# DNC<sub>h</sub> functions

Let  $h$  be a computable, non-decreasing, unbounded function.

$f$  is a DNC<sub>h</sub> function if

- ▶  $f$  is total,
- ▶  $f(e) \neq \phi_e(e)$  for every  $e$ , and
- ▶  $f(e) < h(e)$  for every  $e$ .

**Theorem (Bienvenu, Porter)**

*DNC<sub>h</sub> is a deep class if and only if  $\sum_{n=0}^{\infty} \frac{1}{h(n)} = \infty$ .*

Moreover, if  $\sum_{n=0}^{\infty} \frac{1}{h(n)} < \infty$ , then every Martin-Löf random computes a DNC<sub>h</sub> function.

Thank you for your attention!